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FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING OR 371 (c) DATE Yoji Tanijiri 019941-002010US

10/766.201 01/27/2004

20350 TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 3314 FORMALITIES LETTER *OC00000012968559*

Date Mailed: 06/17/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) all.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

PTO/SB/17 (10-03) **FEE TRANSMITTAL** Complete if Known 10/766,201 **Application Number** for FY 2004 ENTE TRAD January 27, 2004 Filing Date Effective 10/01/2003. Patent fees are subject to annual revision. Tanijiri, Yoji First Named Inventor Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** Art Unit TOTAL AMOUNT OF PAYMENT (\$) 019941-002010US Attorney Docket No.

	METHOD OF PAY	MENT (check all that apply)				FEE CA	ALCULATION (continued)	
Check	Credit Card	Money Order Other None	3. ADD	ITIONAL	FEES			
Deposit Acc			Large	Entity	Small	Entity		
Deposit	20-1430		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	20-1430		1051	130	2051	65	Surcharge - late filing fee or oath	130
Deposit			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account	Townsend a	nd Townsend and Crew LLP	1053	130	1053	130	Non-English specification	
Name			1812	2,520	1812	2.520	For filing a request for reexamination	
	uthorized to: (che	eck all that apply) Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge any a	additional fee(s) or	any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		except for the filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-iden	tified deposit accou		1252	420	2252	210	Extension for reply within second month	- 1
	FEEC	CALCULATION		420		2.0		
1. BASIC FI	LING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity		1254	1,480	2254	740	Extension for reply within fourth month	
		Fee Description Fee Paid						
,	Code (\$)		1255	2,010	2255	1,005	Extension for reply within fifth month	
		Jtility filing fee	1401	330	2401	165	Notice of Appeal	
		Design filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
		Plant filing fee	1403	290	2403	145	Request for oral hearing	
		Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	SUBTOTA	L (1) (\$)	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTUTA	(4)	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CL	AIM FEES FO	R UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
		Fee from	1502	480	2502	240	Design issue fee	
	Extra	Claims below Fee Paid	1503	640	2503	320	Plant issue fee	
Total Claims	.·· =	× ⊨ l	1460	130	1460	130	Petitions to the Commissioner	
Independent Claims	┥┈╶┝		1807	50	1807	50	Petitions related to provisional applications	
L. Multiple			1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent Large Entity	Small Entity	· []	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9	Claims in excess of 20	1810	770	2810	385	For each additional invention to be	\vdash
1201 86	2201 43	Independent claims in excess of 3	1010	770	12010	303	examined (37 CFR § 1.129(b))	
1203 290 1204 86	2203 145 2204 43	Multiple dependent claim, if not paid ** Reissue independent claims	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9	over original patent ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
	1		045-7	na (acasie:	٠		· · · · · · · · · · · · · · · · · · ·	
SUBTOTAL (2) (\$)				ee (specify	,			
**or number prev	iously paid, if greater	r; For Reissues, see above	*Reduc	ed by Basi	c Filing	Fee Paid	SUBTOTAL (3) (\$)130	

SUBMITTED BY				C	omplete (if applicable)	
Name (Print/Type)	Joseph R. Spyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000	
Signature	orten.4			Date	8/6/04	

WAPNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

0 2004	Application Number	T		PTO/SB/21 (04-04)			
()	· ·	10/766,201					
TRANSMITTAL FORM	Filing Date	January 27, 20	January 27, 2004				
FORM	First Named Inventor	Tanijiri, Yoji	Tanijiri, Yoji				
(to be used for all correspondence after initial fili	ng) Art Unit						
	Examiner Name						
Total Number of Pages in This Submission	Attorney Docket Number	019941-00201	0US				
	ENCLOSURES (Check all that	apply)					
Fee Transmittal Form Fee Attached Preliminary Amendment After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Replacement Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks The Commissioner is at Account 20-1430.	to T App of A App (Apr (Apr Apr (Apr Apr Apr (Apr Apr Apr Apr Apr Apr Apr Apr Apr Apr	After Allowance Communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Statement Under 37 CFR 3.73(b); Statement to Accompany English Translation; translated specification; Return Postcard				
SIGNA	TURE OF APPLICANT, ATTORN	EY. OR AGENT	•				
Firm Townsend and Towns		,					
or Individual name Jeseph R anyder	7 Reg.	No. 39,381					
Signature							
Date August 6, 2004							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Judith Cotham							
Signature	n Coll		Date	August 6, 2004			

AUG

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

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Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE